

MAIL STOP PCT

1487 Rec'd 11 DEC 2006 FILED 09 JAN 2006

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| FORM PTO-1390 (Modified) U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE<br>(REV. 7-2005)  |  | ATTORNEY'S DOCKET NUMBER<br><b>280025US6PCT</b>                     |
| TRANSMITTAL LETTER TO THE UNITED STATES<br>DESIGNATED/ELECTED OFFICE (DO/EO/US)<br>CONCERNING A SUBMISSION UNDER 35 U.S.C. 371   |  | U.S. APPLICATION NO. (If known, see 37 CFR 1.5)<br><b>10/563768</b> |
| INTERNATIONAL APPLICATION NO.<br><b>PCT/JP04/10690</b>   | INTERNATIONAL FILING DATE<br><b>21 JULY 2004</b> | PRIORITY DATE CLAIMED<br><b>25 JULY 2003</b>                        |
| TITLE OF INVENTION<br><b>AUDIO REPRODUCTION METHOD AND DEVICE</b>  |  |   |
| APPLICANT(S) FOR DO/EO/US<br><b>Kouichi MATSUDA</b>  |  |   |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:  |  |   |
| <ol style="list-style-type: none"> <li><input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371.</li> <li><input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.</li> <li><input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.</li> <li><input checked="" type="checkbox"/> The US has been elected (Article 31).</li> <li><input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c)(2))             <ol style="list-style-type: none"> <li><input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li> <li><input checked="" type="checkbox"/> has been communicated by the International Bureau.</li> <li><input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li><input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).             <ol style="list-style-type: none"> <li><input checked="" type="checkbox"/> is attached hereto.</li> <li><input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ol> </li> <li><input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))             <ol style="list-style-type: none"> <li><input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li><input checked="" type="checkbox"/> have been communicated by the International Bureau.</li> <li><input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li><input type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li><input checked="" type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li><input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).</li> <li><input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).</li> <li><input type="checkbox"/> A copy of the International Preliminary Examination Report (PCT/IPEA/409).</li> <li><input checked="" type="checkbox"/> A copy of the International Search Report (PCT/ISA/210).</li> </ol> |  |   |
| Items 13 to 23 below concern document(s) or information included:  |  |   |
| <ol style="list-style-type: none"> <li><input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li><input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li><input type="checkbox"/> A <b>FIRST</b> preliminary amendment.</li> <li><input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</li> <li><input type="checkbox"/> A substitute specification.</li> <li><input checked="" type="checkbox"/> A power of attorney and/or change of address letter.</li> <li><input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13<i>ter</i>.2 and 37 CFR 1.821 - 1.825.</li> <li><input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4).</li> <li><input type="checkbox"/> A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).</li> <li><input type="checkbox"/> Express Mail Label No.</li> </ol>   |  |   |

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|  |  |  |                          |   |           |                        |  |                    |                      |                     |          |                              |  |
|--|--|--|--------------------------|---|-----------|------------------------|--|--------------------|----------------------|---------------------|----------|------------------------------|--|
| U.S. APPLICATION NO (if known, see 37 CFR 1.5)<br><b>10/563768</b>   |  | INTERNATIONAL APPLICATION NO.<br><b>PCT/JP04/10690</b>                 |                          | ATTORNEY'S DOCKET NUMBER<br><b>280025US6PCT</b> |           |                        |  |                    |                      |                     |          |                              |  |
| 23. Other items or information:<br><br><table border="0"> <tr> <td>Application Data Sheet</td> <td>Statement Regarding Declaration of Inventors</td> </tr> <tr> <td>Notice of Priority</td> <td>Cited References (2)</td> </tr> <tr> <td>Drawings (6 Sheets)</td> <td>PTO-1449</td> </tr> <tr> <td colspan="2">Amended Sheets (Pages 15-17)</td> </tr> </table>  |  |  |                          |   |           | Application Data Sheet | Statement Regarding Declaration of Inventors | Notice of Priority | Cited References (2) | Drawings (6 Sheets) | PTO-1449 | Amended Sheets (Pages 15-17) |  |
| Application Data Sheet   | Statement Regarding Declaration of Inventors |  |                          |   |           |                        |  |                    |                      |                     |          |                              |  |
| Notice of Priority   | Cited References (2)                         |  |                          |   |           |                        |  |                    |                      |                     |          |                              |  |
| Drawings (6 Sheets)  | PTO-1449                                     |  |                          |   |           |                        |  |                    |                      |                     |          |                              |  |
| Amended Sheets (Pages 15-17)   |  |  |                          |   |           |                        |  |                    |                      |                     |          |                              |  |
| The following fees have been submitted:  |  |  |                          | CALCULATIONS                                    | PTO USE   |                        |  |                    |                      |                     |          |                              |  |
| 24. <input checked="" type="checkbox"/> Basic national fee .....   |  |  |                          | \$300   | \$ 300.00 |                        |  |                    |                      |                     |          |                              |  |
| 25. <input checked="" type="checkbox"/> Examination fee (37 CFR 1.492(c))<br>If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article<br>All other situations. ....  |  |  |                          | \$0   | \$200.00  |                        |  |                    |                      |                     |          |                              |  |
| 26. <input checked="" type="checkbox"/> Search fee (37 CFR 1.492(b))<br>If the written opinion of the ISA/US or the International preliminary examination report by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)...<br>Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the as an International Searching Authority. ....<br>International Search Report prepared by an ISA other than the US and provided to the previously communicated to the US by the IB. ....<br>All other situations. .... |  |  |                          | \$100   | \$400.00  |                        |  |                    |                      |                     |          |                              |  |
| <b>TOTAL OF 24, 25 and 26 =</b>  |  |  |                          | \$500   | \$900.00  |                        |  |                    |                      |                     |          |                              |  |
| <input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)).<br>The fee is \$250 for each additional 50 sheets of paper or fraction thereof.  |  |  |                          |   |           |                        |  |                    |                      |                     |          |                              |  |
| Total Sheets   | Extra Sheets                                 | Number of each additional 50 or fraction thereof (round up to a whole) |                          | RATE  |           |                        |  |                    |                      |                     |          |                              |  |
| - 100 =  | 0 /50 =                                      | 0  |                          | x \$250.00                                      | \$ 0.00   |                        |  |                    |                      |                     |          |                              |  |
| Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).  |  |  |                          |   |           |                        |  |                    |                      |                     |          |                              |  |
| CLAIMS   | NUMBER FILED                                 | NUMBER EXTRA   | RATE                     |   |           |                        |  |                    |                      |                     |          |                              |  |
| Total claims   | 6 - 20 =                                     | 0  | x \$50.00                | \$ 0.00   |           |                        |  |                    |                      |                     |          |                              |  |
| Independent claims   | 2 - 3 =                                      | 0  | x \$200.00               | \$ 0.00   |           |                        |  |                    |                      |                     |          |                              |  |
| MULTIPLE DEPENDENT CLAIMS (if applicable)  |  |  | <input type="checkbox"/> | + \$360.00                                      | \$ 0.00   |                        |  |                    |                      |                     |          |                              |  |
| <b>TOTAL OF ABOVE CALCULATIONS =</b>   |  |  |                          | \$900.00  |           |                        |  |                    |                      |                     |          |                              |  |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.   |  |  |                          |   |           |                        |  |                    |                      |                     |          |                              |  |
|  |  |  |                          | <b>SUBTOTAL =</b>                               | \$ 900.00 |                        |  |                    |                      |                     |          |                              |  |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).  |  |  |                          |   |           |                        |  |                    |                      |                     |          |                              |  |
| <b>TOTAL NATIONAL FEE =</b>  |  |  |                          | \$900.00  |           |                        |  |                    |                      |                     |          |                              |  |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property +  |  |  |                          | \$0.00  |           |                        |  |                    |                      |                     |          |                              |  |
| <b>TOTAL FEES ENCLOSED =</b>   |  |  |                          | \$900.00  |           |                        |  |                    |                      |                     |          |                              |  |
|  |  |  |                          | Amount to be                                    | \$        |                        |  |                    |                      |                     |          |                              |  |
|  |  |  |                          | Amount to be                                    | \$        |                        |  |                    |                      |                     |          |                              |  |

10/563768

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PTO-1390 (Rev. 07-2005)

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**NOTE:** Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:

Customer Number: 22850

SIGNATURE

*Surinder Sachar*

NAME

25,599

REGISTRATION NUMBER

Jan. 9 2006

DATE

Surinder Sachar  
Registration No. 34,423

10/563768

IAP15 Rec'd PCT/PTO 09 JAN 2006

DOCKET NO.: 280025US6PCT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Kouichi MATSUDA  
SERIAL NO.: NEW U.S. PCT APPLICATION  
FILED: HEREWITH  
INTERNATIONAL APPLICATION NO.: PCT/JP04/10690  
INTERNATIONAL FILING DATE: 21 JULY 2004  
FOR: AUDIO REPRODUCTION METHOD AND DEVICE

**STATEMENT REGARDING DECLARATION OF THE INVENTORS**  
**(35 U.S.C. 371(c)(4))**

Commissioner for Patents  
Alexandria, Virginia 22313

Sir:

The above-identified new application being filed herewith is accompanied by a declaration of the inventors. If the declaration is in a language other than the English language, it is accompanied by an English translation. The translation is accurate (37 CFR 1.69).

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

*Surinder Sachar*

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